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JCS60 U.S. PTO

# UTILITY PATENT APPLICATION TRANSMITTAL

## (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
14051

Total Pages in this Submission

## TO THE ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application  
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for invention entitled:

### ELECTRONIC REVENUE STAMP ISSUING APPARATUS AND METHOD THEREOF AND RECORDING MEDIUM STORED CONTROL PROGRAM THEREOF

and invented by:

Kazuo Sako

If a CONTINUATION APPLICATION, check appropriate box and supply the requisite information:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Which is a:

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Which is a:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Enclosed are:

## Application Elements

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 28 pages and including the following:
  - a. ☒ Descriptive Title of the Invention
  - b. ☐ Cross References to Related Applications (if applicable)
  - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
  - d. ☐ Reference to Microfiche Appendix (if applicable)
  - e. ☒ Background of the Invention
  - f. ☒ Brief Summary of the Invention
  - g. ☒ Brief Description of the Drawings (if drawings filed)
  - h. ☒ Detailed Description
  - i. ☒ Claim(s) as Classified Below
  - j. ☒ Abstract of the Disclosure

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# UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

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## Application Elements (Continued)

3. ☒ Drawing(s) (when necessary as prescribed by 35 USC 113)
- a. ☒ Formal Number of Sheets 3
- b. ☐ Informal Number of Sheets \_\_\_\_\_
4. ☒ Oath or Declaration
- a. ☒ Newly executed (original or copy) ☐ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)
- c. ☒ With Power of Attorney ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (usable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied  
under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby  
incorporated by reference therein.
6. ☐ Computer Program in Microfiche (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included)
- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy (identical to computer copy)
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

## Accompanying Application Parts

8. ☒ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(B) Statement (when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☒ Information Disclosure Statement/PTO-1449 ☒ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☒ Certificate of Mailing

☐ First Class ☒ Express Mail (Specify Label No.): EL658969157US

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Large Entity)**

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

Docket No.  
14051

Total Pages in this Submission

**Accompanying Application Parts (Continued)**

15. ☒ Certified Copy of Priority Document(s) *(if foreign priority is claimed)*

16. ☐ Additional Enclosures *(please identify below):*

**Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)**

17. ☐ Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.

**Warning**

***An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.***

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Large Entity)**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
14051

Total Pages in this Submission

**Fee Calculation and Transmittal**

**CLAIMS AS FILED**

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	22	- 20 =	2	x \$18.00	\$36.00
Indep. Claims	4	- 3 =	1	x \$80.00	\$80.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$710.00
OTHER FEE (specify purpose)					\$0.00
TOTAL FILING FEE					\$826.00

- ☒ A check in the amount of \$826.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_ as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Signature

Paul J. Esatto, Jr.  
Registration No. 30, 749

Scully, Scott, Murphy & Presser  
400 Garden City Plaza  
Garden City, NY 11530  
(516) 742-4343

Dated: November 9, 2000

CC:

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant:** Kazue Sako

**Docket:** 14051

**Serial No.:** Unassigned

**Dated:** November 9, 2000

**Filed:** Herewith

**For:** ELECTRONIC REVENUE STAMP  
ISSUING APPARATUS AND METHOD  
THEREOF AND RECORDING MEDIUM  
STORED CONTROL PROGRAM THEREOF


Assistant Commissioner for Patents  
Washington, DC 20231

**CLAIM OF PRIORITY**

**Sir:**

Applicant in the above-identified application hereby claims the right of priority in connection with Title 35 U.S.C.' 119 and in support thereof, herewith submits a certified copy of Japanese Patent Application 11-318982 filed on November 10, 1999.

Respectfully submitted,

  
Paul J. Esatto, Jr.  
Registration No. 30,749

Scully, Scott, Murphy & Presser  
400 Garden City Plaza  
Garden City, NY 11530  
(516) 742-4343  
PJE/am

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**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

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I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Dated: November 9, 2000

  
Mishelle Mustafa

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
APPLICATION FOR LETTERS PATENT

Title: ELECTRONIC REVENUE STAMP ISSUING APPARATUS AND METHOD THEREOF  
AND RECORDING MEDIUM STORED CONTROL PROGRAM THEREOF

INVENTOR(S) : KAZUE SAKO

ELECTRONIC REVENUE STAMP ISSUING APPARATUS  
AND METHOD THEREOF  
AND RECORDING MEDIUM STORED CONTROL PROGRAM  
THEREOF

BACKGROUND OF THE INVENTION

The present invention relates to an electronic revenue stamp issuing apparatus and a method thereof and a recording medium stored a control program thereof, in particular, in which an electronic revenue stamp attached a message is issued to certificate that a fee or a tax was paid.

Description of the Related Art

A conventional electronic revenue stamp system is described in a technical report "Design of electronic revenue stamp system", 1999, pp. 389-394, written by A. Shimbo et al., for the 1999 symposium on cryptography and information security organized by the Institute of Electronics, Information and Communication Engineers. In this technical report, the electronic revenue stamp issuing apparatus provides a secret key for an electronic digital signature. And the electronic revenue stamp is data that a signature of the electronic revenue stamp issuing apparatus is attached to inputted data such as a message, an amount to be attached, a time & date of an applicant.

Fig. 1 is a block diagram showing a conventional electronic revenue stamp issuing apparatus. Referring to Fig. 1, a conventional electronic revenue stamp issuing apparatus 200 is explained. The conventional revenue stamp issuing apparatus 200 controls a possible amount to issue data 7, and provides a judging section 1 which judges whether an inputted amount can be issued or not by using data from the possible amount to issue data 7 when an application is inputted data, an issuing section 2 which attaches a signature of the electronic revenue

stamp issuing apparatus 200 to inputted data such as a message, an amount to be attached, and a time & date, and a balance amount reducing section 3 which reduces the amount of the issued electronic revenue stamp from the possible amount to issue data 7.

5           The inputting data to this conventional electronic revenue stamp issuing apparatus 200 are the amount of the electronic revenue stamp, the message, and the time & date. The inputted data being the amount of the electronic revenue stamp, the message, and the time & date at the time of the application are outputted to the judging section 1.

10          The judging section 1 judges whether the inputted amount of the electronic revenue stamp is equal to or less than the possible amount to issue data 7 or not. When the amount of the electronic revenue stamp applied this time is equal to or less than the possible amount to issue data 7, the inputted data are outputted to the issuing section 2. The issuing  
15          section 2 calculates a signature by using a secret key for signature (not shown) recorded in the electronic revenue stamp issuing apparatus 200 for the inputted data, and outputs data attached with the signature as an electronic revenue stamp. When the electronic revenue stamp was issued, the balance amount reducing section 3 reduces the issued amount  
20          of the electronic revenue stamp from the possible amount to issue data 7. With this operation mentioned above, the electronic revenue stamp issuing operation is completed.

At the conventional electronic revenue stamp issuing apparatus 200, in order to prevent making the possible amount to issue  
25          data 7 increase by illegal operation, the possible amount to issue data 7 can not be increased except that the conventional electronic revenue stamp issuing apparatus 200 communicates with a specified communication center. On the other hand, the electronic revenue stamp is issued by depending on the applied message, therefore, when the  
30          message is revised, the electronic revenue stamp attached to the original



message becomes invalid. If the amount of the electronic revenue stamp, which became invalid, can be inputted again to the possible amount to issue data 7, the possible amount to issue data 7 can be increased. This is very useful for the electronic revenue stamp issuing apparatus 200.

5 However, as mentioned above, at the conventional electronic revenue stamp issuing apparatus 200, there is no means to increase the possible amount to issue data 7 except that the electronic revenue stamp issuing apparatus 200 communicates with the specified communication center. In an application by a paper base, only the message can be revised  
10 without making the revenue stamp invalid, therefore, this problem is a big disadvantage at the electronic revenue stamp issuing.

### SUMMARY OF THE INVENTION

It is therefore an object of the present invention to provide an  
15 electronic revenue stamp issuing apparatus and a method thereof and a recording medium stored a control program thereof, in which the amount of an electronic revenue stamp that became invalid can be used again as a possible amount to issue without any communication with a specified communication center.

20 According to a first aspect of the present invention for achieving the object mentioned above, there is provided an electronic revenue stamp issuing apparatus. The electronic revenue stamp issuing apparatus provides a judging means for judging whether the amount of an electronic revenue stamp to be issued is equal to or less than a possible amount to  
25 issue in which a prepaid amount is stored or not, an issuing means for issuing the electronic revenue stamp as data attached a signature of the electronic revenue stamp issuing apparatus to a message to be attached to the electronic revenue stamp, the amount of the electronic revenue stamp, and an identifier of a receiver of the electronic revenue stamp, in  
30 case that the amount of the electronic revenue stamp is equal to or less

than the possible amount to issue, and a balance amount reducing means for reducing the amount of the electronic revenue stamp from the possible amount to issue, when the electronic revenue stamp was issued.

According to a second aspect of the present invention, in the first aspect, the electronic revenue stamp issuing apparatus further provides a verifying means, when the verifying means receives a certificate making electronic revenue stamp invalid attached a signature of the receiver, verifies whether the certificate making electronic revenue stamp invalid is valid or not by using the identifier of the receiver, an amount increasing means for increasing the possible amount to issue by the amount of the electronic revenue stamp made to be invalid, and an invalid electronic revenue stamp recording means for recording the certificate making electronic revenue stamp invalid that was made to be invalid.

According to a third aspect of the present invention, in the first aspect, the issuing means issues the electronic revenue stamp as data attached the signature of the electronic revenue stamp issuing apparatus to an issued number (a serial number) of the electronic revenue stamp issuing apparatus to specify the electronic revenue stamp, in addition to the message to be attached to the electronic revenue stamp, the amount of the electronic revenue stamp, and the identifier of the receiver of the electronic revenue stamp.

According to a fourth aspect of the present invention, in the second aspect, the verifying means, when the verifying means receives the certificate making electronic revenue stamp invalid attached the signature of the receiver, verifies whether the certificate making electronic revenue stamp invalid is valid or not by using the identifier of the receiver and an issued number (a serial number) of the electronic revenue stamp issuing apparatus, when the electronic revenue stamp issuing apparatus gives the issued number to the electronic revenue

stamp.

According to a fifth aspect of the present invention, in the second aspect, the electronic revenue stamp issuing apparatus further provides invalid electronic revenue stamp data in which data of electronic revenue stamps made to be invalid are stored.

According to a sixth aspect of the present invention, in the first aspect, the electronic revenue stamp issuing apparatus further provides an issued electronic revenue stamp recording means which records issued electronic revenue stamps, and issued electronic revenue stamp and invalid electronic revenue stamp data in which data of the issued electronic revenue stamp data and electronic revenue stamps made to be invalid are stored when the electronic revenue stamps are made to be invalid.

According to a seventh aspect of the present invention, in the second aspect, the verifying means verifies that the certificate making electronic revenue stamp invalid is issued for an electronic revenue stamp issued by the electronic revenue stamp issuing apparatus and also issued by a receiver who is an valid receiver of the electronic revenue stamp, and also verifies that the certificate making electronic revenue stamp invalid was not used before.

According to an eighth aspect of the present invention, there is provided an electronic revenue stamp issuing method. The electronic revenue stamp issuing method provides the steps of; judging whether the amount of an electronic revenue stamp to be issued is equal to or less than a possible amount to issue in which a prepaid amount is stored or not, issuing the electronic revenue stamp as data attached a signature of an electronic revenue stamp issuing apparatus to a message to be attached to the electronic revenue stamp, the amount of the electronic revenue stamp, and an identifier of a receiver of the electronic revenue stamp, in case that the amount of the electronic revenue stamp is equal to

or less than the possible amount to issue, and reducing the amount of the electronic revenue stamp from the possible amount to issue, when the electronic revenue stamp was issued.

According to a ninth aspect of the present invention, in the eighth aspect of the present invention, the electronic revenue stamp issuing method further provides the steps of; when a certificate making electronic revenue stamp invalid attached a signature of the receiver is received, verifying whether the certificate making electronic revenue stamp invalid is valid or not by using the identifier of the receiver, increasing the possible amount to issue by the amount of the electronic revenue stamp made to be invalid, and recording the certificate making electronic revenue stamp invalid that was made to be invalid.

According to a tenth aspect of the present invention, in the eighth aspect, the issuing step issues the electronic revenue stamp as data attached the signature of the electronic revenue stamp issuing apparatus to an issued number (a serial number) of the electronic revenue stamp issuing apparatus to specify the electronic revenue stamp, in addition to the message to be attached to the electronic revenue stamp, the amount of the electronic revenue stamp, and the identifier of the receiver of the electronic revenue stamp.

According to an eleventh aspect of the present invention, in the ninth aspect, the verifying step, when the verifying step receives the certificate making electronic revenue stamp invalid attached the signature of the receiver, verifies whether the certificate making electronic revenue stamp invalid is valid or not by using the identifier of the receiver and an issued number (a serial number) of the electronic revenue stamp issuing apparatus, when the electronic revenue stamp issuing apparatus gives the issued number to the electronic revenue stamp.

According to a twelfth aspect of the present invention, in the

ninth aspect, the electronic revenue stamp issuing method further provides the step of; storing invalid electronic revenue stamp data that are data of electronic revenue stamps made to be invalid.

According to a thirteenth aspect of the present invention, in the eighth aspect, the electronic revenue stamp issuing method further provides the steps of; recording issued electronic revenue stamps, and storing issued electronic revenue stamp data and invalid electronic revenue stamp data made to be invalid when the electronic revenue stamps are made to be invalid.

According to fourteenth aspect of the present invention, in the ninth aspect, the verifying step verifies that the certificate making electronic revenue stamp invalid is issued for an electronic revenue stamp issued by the electronic revenue stamp issuing apparatus and also issued by a receiver who is an valid receiver of the electronic revenue stamp, and also verifies that the certificate making electronic revenue stamp invalid was not used before.

According to a fifteenth aspect of the present invention, there is provided a recording medium stored a control program of an electronic revenue stamp issuing method. The recording medium stored the control program of the electronic revenue stamp issuing method provides the steps of; judging whether the amount of an electronic revenue stamp to be issued is equal to or less than a possible amount to issue in which a prepaid amount is stored or not, issuing the electronic revenue stamp as data attached a signature of an electronic revenue stamp issuing apparatus to a message to be attached to the electronic revenue stamp, the amount of the electronic revenue stamp, and an identifier of a receiver of the electronic revenue stamp, in case that the amount of the electronic revenue stamp is equal to or less than the possible amount to issue, and reducing the amount of the electronic revenue stamp from the possible amount to issue, when the electronic revenue stamp was issued.

According to a sixteenth aspect of the present invention, in the fifteenth aspect, the recording medium stored the control program of the electronic revenue stamp issuing method further provides the steps of; when a certificate making electronic revenue stamp invalid attached a signature of the receiver is received, verifying whether the certificate making electronic revenue stamp invalid is valid or not by using the identifier of the receiver, increasing the possible amount to issue by the amount of the electronic revenue stamp made to be invalid, and recording the certificate making electronic revenue stamp invalid that was made to be invalid.

According to a seventeenth aspect of the present invention, in the fifteenth aspect, the issuing step issues the electronic revenue stamp as data attached the signature of the electronic revenue stamp issuing apparatus to an issued number (a serial number) of the electronic revenue stamp issuing apparatus to specify the electronic revenue stamp, in addition to the message to be attached to the electronic revenue stamp, the amount of the electronic revenue stamp, and the identifier of the receiver of the electronic revenue stamp.

According to an eighteenth aspect of the present invention, in the fifteenth aspect, the verifying step, when the verifying step receives the certificate making electronic revenue stamp invalid attached the signature of the receiver, verifies whether the certificate making electronic revenue stamp invalid is valid or not by using the identifier of the receiver and an issued number (a serial number) of the electronic revenue stamp issuing apparatus, when the electronic revenue stamp issuing apparatus gives the issued number to the electronic revenue stamp.

According to a nineteenth aspect of the present invention, in the fifteenth aspect, the recording medium stored the control program of the electronic revenue stamp issuing method further provides the step of;

storing invalid electronic revenue stamp data that are data of electronic revenue stamps made to be invalid.

According to a twentieth aspect of the present invention, in the fourteenth aspect, the recording medium stored the electronic revenue stamp issuing method further provides the steps of; recording issued electronic revenue stamps, and storing issued electronic revenue stamp data and invalid electronic revenue stamp data made to be invalid when the electronic revenue stamps are made to be invalid.

According to a twenty-first aspect of the present invention in the fifteenth aspect, the verifying step verifies that the certificate making electronic revenue stamp invalid is issued for an electronic revenue stamp issued by the electronic revenue stamp issuing apparatus and also issued by a receiver who is an valid receiver of the electronic revenue stamp, and also verifies that the certificate making electronic revenue stamp invalid was not used before.

According to a twenty-second aspect of the present invention, the recording medium stored the control program of the electronic revenue stamp issuing method is an IC card.

According to the present invention, the electronic revenue stamp issuing apparatus has a function to issue the electronic revenue stamp based on the identifier of the receiver of the electronic revenue stamp. By attaching the identifier of the receiver to the electronic revenue stamp, the receiver can make the received electronic revenue stamp invalid, and the electronic revenue stamp issuing apparatus can be instructed to increase the possible amount to issue by the amount of the invalid electronic revenue stamp. And in order to increase the possible amount to issue by using the certificate making electronic revenue stamp invalid issued by the receiver, the electronic revenue stamp issuing apparatus has a verifying function to verify the validity of the certificate making electronic revenue stamp invalid and the amount increasing

function to increase the possible amount to issue. With these functions, it can be prevented that the possible amount to issue in the electronic revenue stamp issuing apparatus is illegally increased by an illegal certificate making electronic revenue stamp invalid.

Further, according to the present invention, in order not to increase the possible amount to issue twice by inputting again the certificate making electronic revenue stamp invalid that is verified to be valid, the electronic revenue stamp issuing apparatus has a recording function to record electronic revenue stamps that were made to be invalid and whose amount were increased in the possible amount to issue. Therefore, at the electronic revenue stamp issuing apparatus, the amount of the invalid electronic revenue stamp can be increased in the possible amount to issue, without any communication with the specified communication center.

#### BRIEF DESCRIPTION OF THE DRAWINGS

The objects and features of the present invention will become more apparent from the consideration of the following detailed description taken in conjunction with the accompanying drawings in which:

Fig. 1 is a block diagram showing a conventional electronic revenue stamp issuing apparatus;

Fig. 2 is a block diagram showing a first embodiment of an electronic revenue stamp issuing apparatus of the present invention;

Fig. 3 is a block diagram showing a second embodiment of the electronic revenue stamp issuing apparatus of the present invention; and

Fig. 4 is a diagram showing a structure of an electronic revenue stamp issuing system used the electronic revenue stamp issuing apparatus of the present invention.



## DESCRIPTION OF THE PREFERRED EMBODIMENTS

Referring now to the drawings, in order to make objects, features, and advantages of the present invention clear, embodiments of the present invention are explained in detail. Fig. 2 is a block diagram showing a first embodiment of an electronic revenue stamp issuing apparatus of the present invention. At the first embodiment, a function, which is almost equivalent to the function of the conventional electronic revenue stamp issuing apparatus shown in Fig. 1, has the same reference number that the conventional electronic revenue stamp issuing apparatus has. As shown in Fig. 2, an electronic revenue stamp issuing apparatus 100 of the first embodiment of the present invention provides a judging section 1, an issuing section 2, a balance amount reducing section 3, a verifying section 4, an amount increasing section 5, and an invalid electronic revenue stamp recording section 6. And the electronic revenue stamp issuing apparatus 100 controls possible amount to issue data 7, and invalid electronic revenue stamp data 8.

In case of issuing an electronic revenue stamp, data being items to be inputted to the electronic revenue stamp issuing apparatus 100 are the amount of the electronic revenue stamp, a message, an identifier of a receiver of the message (name of the receiver), and a time & date. In case that the electronic revenue stamp is made to be invalid, a certificate making the electronic revenue stamp invalid is inputted to the electronic revenue stamp issuing apparatus 100.

First, the case of issuing the electronic revenue stamp is explained. The data being the amount of the electronic revenue stamp, the message, the identifier of the receiver of the message (name of the receiver), and the time & date are inputted to the judging section 1. The judging section 1 judges whether the amount of the electronic revenue stamp is equal to or less than the possible amount to issue data 7 or not. In case that the amount of the electronic revenue stamp is equal to or less

than the possible amount to issue data 7, the inputted data mentioned above are outputted to the issuing section 2. The issuing section 2 calculates a signature by using a secret key for signature (not shown) recorded in the electronic revenue stamp issuing apparatus 100 for the  
 5 inputted data, and outputs data attached the signature as the electronic revenue stamp. When the electronic revenue stamp is issued, the balance amount reducing section 3 reduces the issued amount of the electronic revenue stamp from the possible amount to issue data 7. With this operation mentioned above, the electronic revenue stamp  
 10 issuing operation is completed.

The receiver, received the electronic revenue stamp outputted from the electronic revenue stamp issuing apparatus 100, verifies whether the message has a valid signature calculated by the electronic revenue stamp issuing apparatus 100 or not, and in case that the valid  
 15 signature exists, the receiver acknowledges that the message is a message validly attached the electronic revenue stamp.

Next, operation, in case that the receiver needs to return the electronic revenue stamp due to that the message attached the electronic revenue stamp has some fault, is explained. At this time, the receiver  
 20 issues a certificate making electronic revenue stamp invalid. The certificate making electronic revenue stamp invalid is an instruction message that has the signature of the receiver to make the electronic revenue stamp invalid. Then, operation to make the electronic revenue stamp invalid is explained by using this certificate making electronic  
 25 revenue stamp invalid. This certificate making electronic revenue stamp invalid is inputted to the verifying section 4. The verifying section 4 verifies that the electronic revenue stamp is issued by the electronic revenue stamp issuing apparatus 100 by using the signature attached to the electronic revenue stamp.

And the verifying section 4 reads out the identifier of the

receiver written in the electronic revenue stamp, and verifies that the identifier of the receiver has been validly given to the certificate making electronic revenue stamp invalid. Further, the verifying section 4 verifies that this electronic revenue stamp has not been made to be  
5 invalid before. After these verification mentioned above, the amount increasing section 5 increases the amount by the amount of this electronic revenue stamp. After this, the invalid electronic revenue stamp recording section 6 records that this electronic revenue stamp was made to be invalid. With the operation mentioned above, the operation to  
10 make the electronic revenue stamp invalid is completed.

At the first embodiment mentioned above, the data being the amount of the electronic revenue stamp, the message, the identifier of the receiver of the message (name of the receiver), and the time & date with the signature of the electronic revenue stamp issuing apparatus 100 are  
15 given to the issued electronic revenue stamp. However, in addition to the data mentioned above, for example, data such as an identifier and an issued serial number of the electronic revenue stamp issuing apparatus 100, and the possible amount to issue data 7 at the time of issuing can be added to the issued electronic revenue stamp with the signature of the  
20 electronic revenue stamp issuing apparatus 100. In this, the composing method of the data with the signature is well known, and there is a method to attach a signature to the inputted data by applying a signature conversion to all the inputted data. This method is applied to the first embodiment of the present invention. In addition to the method  
25 mentioned above, there are methods such as the signature conversion is applied only to a hashed value of the inputted data, and a part of the inputted data is converted to the hashed value and the signature conversion is applied to the all inputted data including the hashed value .

And by depending on a used signature algorithm, it is not  
30 always necessary to attach the inputted data, there is a method that the

inputted data can be restored by only using data applied the signature conversion. And it is well known that it is necessary that information of a public key certificate, which is needed to verify the signature of the electronic revenue stamp issuing apparatus at the receiver, has been notified to the receiver. The signature algorithm is well known and not directly related to the present invention, therefore, the explanation of the signature algorithm is omitted.

Next a second embodiment of the electronic revenue stamp issuing apparatus of the present invention is explained in detail. Fig. 3 is a block diagram showing the second embodiment of the electronic revenue stamp issuing apparatus of the present invention. At the second embodiment, a function, which is almost equivalent to the function of the first embodiment shown in Fig. 2, has the same reference number that the first embodiment has. As shown in Fig. 3, an electronic revenue stamp issuing apparatus 150 of the second embodiment of the present invention provides a judging section 1, an issuing section 2, a balance amount reducing section 3, a verifying section 4, an amount increasing section 5, an invalid electronic revenue stamp recording section 6, and an issued electronic revenue stamp recording section 9. And the electronic revenue stamp issuing apparatus 150 controls possible amount to issue data 7, and issued electronic revenue stamp and invalid electronic revenue stamp data 10.

In case of issuing an electronic revenue stamp, data being items to be inputted to the electronic revenue stamp issuing apparatus 150 are the amount of the electronic revenue stamp, a message, an identifier of a receiver of the electronic revenue stamp (name of the receiver), and a time & date. In case that the electronic revenue stamp is made to be invalid, a certificate making electronic revenue stamp invalid is inputted to the electronic revenue stamp issuing apparatus 150.

First, the case of issuing the electronic revenue stamp is

explained. The data being the amount of the electronic revenue stamp, the message, the identifier of the receiver of the electronic revenue stamp (name of the receiver), and the time & date are inputted to the judging section 1. The judging section 1 judges whether the amount of the  
5 electronic revenue stamp is equal to or less than the amount in the possible amount to issue data 7 or not. In case that the amount of the electronic revenue stamp is equal to or less than the amount in the possible amount to issue data 7, the inputted data mentioned above are outputted to the issuing section 2. The issuing section 2 obtains a  
10 number being a serial number for the electronic revenue stamp, and calculates a signature by using a secret key for signature (not shown) recorded in the electronic revenue stamp issuing apparatus 150 for the inputted data and the serial number, and outputs data attached the signature as the electronic revenue stamp.

15 When the electronic revenue stamp is issued, the balance amount reducing section 3 reduces the issued amount of the electronic revenue stamp from the possible amount to issue data 7. And the issued electronic revenue stamp recording section 9 records the serial number, the amount, the message, the name of the receiver, and the time & date of  
20 the issued electronic revenue stamp. With this operation mentioned above, the electronic revenue stamp issuing operation is completed. The receiver, who received the electronic revenue stamp outputted from the electronic revenue stamp issuing apparatus 150, verifies whether the message has a valid signature calculated by the electronic revenue stamp  
25 issuing apparatus 150 or not, and in case that the valid signature exists, the receiver acknowledges that the message is a message validly attached the electronic revenue stamp.

Next, operation, in case that the receiver needs to return the electronic revenue stamp due to that the message attached the electronic  
30 revenue stamp has some fault, is explained. At this time, the receiver

issues a certificate making electronic revenue stamp invalid. The certificate making electronic revenue stamp invalid is an instruction message that has the signature of the receiver to make the electronic revenue stamp invalid. At the second embodiment, since that the issued  
5 electronic revenue stamp and the invalid electronic revenue stamp data 10 are recorded in the electronic revenue stamp issuing apparatus 150, for example, by specifying the electronic revenue stamp used the serial number, the certificate making electronic revenue stamp invalid can be made to be the certificate making electronic revenue stamp invalid with  
10 the signature of the receiver.

Then, operation to make the electronic revenue stamp invalid by using this certificate making electronic revenue stamp invalid is explained. This certificate making electronic revenue stamp invalid is inputted to the verifying section 4. The verifying section 4 verifies that  
15 the electronic revenue stamp is issued by the electronic revenue stamp issuing apparatus 150 by using the serial number of the electronic revenue stamp attached to the certificate making electronic revenue stamp invalid and the issued electronic revenue stamp and invalid electronic revenue stamp data 10 recorded in the electronic revenue  
20 stamp issuing apparatus 150. And the verifying section 4 reads out the identifier of the receiver of the electronic revenue stamp recorded in the issued electronic revenue stamp and invalid electronic revenue stamp data 10, and verifies that the signature of the receiver of the electronic revenue stamp has been validly given to the certificate making electronic  
25 revenue stamp invalid.

Further, the verifying section 4 verifies that this electronic revenue stamp has not been made to be invalid before. After these verification mentioned above, the amount increasing section 5 increases the amount by the amount of this electronic revenue stamp. After this,  
30 the invalid electronic revenue stamp recording section 6 records that this

electronic revenue stamp was made to be invalid. With the operation mentioned above, the operation making the electronic revenue stamp invalid is completed.

At the second embodiment compared with the first embodiment,  
 5 the inputted all data are not needed to write in the certificate making electronic revenue stamp invalid, and it is enough to write only the serial number specifying the electronic revenue stamp and the signature of the receiver. Therefore, the amount of data of the certificate making electronic revenue stamp invalid can be reduced. Further, it is not  
 10 necessary to verify the own signature of the electronic revenue stamp issuing apparatus 150 to know that the electronic revenue stamp is issued by his/her own, and it is enough to refer the serial numbers that is managed by him/her. Therefore, the operation can be simplified. On the other hand, the issued electronic revenue stamps must be managed by  
 15 him/her.

The electronic revenue stamp issuing apparatus of the present invention can be operated by using, for example, an IC card. Fig. 4 is a diagram showing a structure of an electronic revenue stamp issuing system used the electronic revenue stamp issuing apparatus of the  
 20 present invention. Referring to Fig. 4, the electronic revenue stamp issuing system used the IC card on a network used the electronic revenue stamp issuing apparatus of the present invention is explained.

As shown in Fig.4, for example, it is explained a case that an applicant wants to send an application message with an electronic  
 25 revenue stamp to a host computer at receiver 303 through a network 302, by operating an applicant terminal 300 and using an IC card in which a prepaid amount of money is managed. In this case, the applicant makes the application message on the applicant terminal 300 and inputs a required amount for the electronic revenue stamp, the application  
 30 message, and the name of the receiver to the IC card 301, and instructs to

issue the electronic revenue stamp.

Since the functions of the electronic revenue stamp issuing apparatus of the present invention are installed in the IC card 301, as mentioned above, in case that the required amount for issuing the electronic revenue stamp is in the IC card 301, the IC card 301 issues the electronic revenue stamp and notifies the applicant terminal 300. The applicant terminal 300 sends this electronic revenue stamp and the application message to the host computer at receiver 303 through the network 302. The host computer at receiver 303 verifies whether the electronic revenue stamp is valid or not. In case that the application message does not have any fault, the host computer 303 notifies that the application message was accepted to the applicant terminal 300. In case that the application message has some fault, the host computer at receiver 303 notifies that application message was not accepted to the applicant terminal 300, and issues a certificate making electronic revenue stamp invalid for the electronic revenue stamp attached to the application message. The applicant terminal 300 notified that the application message has some fault inputs the certificate making electronic revenue stamp invalid sent from the host computer at receiver 303 to the IC card 301. And the applicant terminal 300 instructs the IC card 301 to increase the amount of money by the amount of the electronic revenue stamp used this fault operation by inputting this certificate making electronic revenue stamp to the IC card 301.

As mentioned above, when the functions of the electronic revenue stamp issuing apparatus of the present invention are installed in the IC card 301 and the host computer at receiver 303, in case that the IC card 301 is instructed to increase the amount of money by using the valid certificate making electronic revenue stamp invalid, the amount of money which is made to be invalid can be increased in the IC card 301.

According to the present invention, as mentioned above, the



amount of an electronic revenue stamp that became invalid can be used again as the amount of a possible amount to issue data without any communication with a specified communication center.

While the present invention has been described with reference  
5 to the particular illustrative embodiments, it is not to be restricted by those embodiments but only by the appended claims. It is to be appreciated that those skilled in the art can change or modify the embodiments without departing from the scope and spirit of the present invention.

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## WHAT IS CLAIMED IS:

1. An electronic revenue stamp issuing apparatus, comprising:

a judging means for judging whether the amount of an electronic revenue stamp to be issued is equal to or less than a possible amount to issue in which a prepaid amount is stored or not;

an issuing means for issuing said electronic revenue stamp as data attached a signature of said electronic revenue stamp issuing apparatus to a message to be attached to said electronic revenue stamp, the amount of said electronic revenue stamp, and an identifier of a receiver of said electronic revenue stamp, in case that said amount of said electronic revenue stamp is equal to or less than said possible amount to issue; and

a balance amount reducing means for reducing said amount of said electronic revenue stamp from said possible amount to issue, when said electronic revenue stamp was issued.

2. An electronic revenue stamp issuing apparatus in accordance with claim 1, further comprising:

a verifying means, when said verifying means receives a certificate making electronic revenue stamp invalid attached a signature of said receiver, verifies whether said certificate making electronic revenue stamp invalid is valid or not by using said identifier of said receiver;

an amount increasing means for increasing said possible amount to issue by said amount of said electronic revenue stamp made to be invalid; and

an invalid electronic revenue stamp recording means for recording said certificate making electronic revenue stamp invalid that was made to be invalid.

3. An electronic revenue stamp issuing apparatus in accordance with claim 1, wherein:

said issuing means issues said electronic revenue stamp as data attached said signature of said electronic revenue stamp issuing apparatus to an issued number (a serial number) of said electronic revenue stamp issuing apparatus to specify said electronic revenue stamp, in addition to said message to be attached to said electronic revenue stamp, the amount of said electronic revenue stamp, and said identifier of said receiver of said electronic revenue stamp.

4. An electronic revenue stamp issuing apparatus in accordance with claim 2, wherein:

said verifying means, when said verifying means receives said certificate making electronic revenue stamp invalid attached said signature of said receiver, verifies whether said certificate making electronic revenue stamp invalid is valid or not by using said identifier of said receiver and an issued number (a serial number) of said electronic revenue stamp issuing apparatus, when said electronic revenue stamp issuing apparatus gives said issued number to said electronic revenue stamp.

5. An electronic revenue stamp issuing apparatus in accordance with claim 2, further comprising:

invalid electronic revenue stamp data in which data of electronic revenue stamps made to be invalid are stored.

6. An electronic revenue stamp issuing apparatus in accordance with claim 1, further comprising:

an issued electronic revenue stamp recording means which records issued electronic revenue stamps; and

5 issued electronic revenue stamp and invalid electronic revenue stamp data in which data of said issued electronic revenue stamp data and electronic revenue stamps made to be invalid are stored when said electronic revenue stamps are made to be invalid.

7. An electronic revenue stamp issuing apparatus in accordance with claim 2, wherein:

said verifying means verifies that said certificate making electronic revenue stamp invalid is issued for an electronic revenue stamp  
 5 issued by said electronic revenue stamp issuing apparatus and also issued by a receiver who is an valid receiver of said electronic revenue stamp, and also verifies that said certificate making electronic revenue stamp invalid was not used before.

8. An electronic revenue stamp issuing method, comprising the steps of:

judging whether the amount of an electronic revenue stamp to be issued is equal to or less than a possible amount to issue in which a  
 5 prepaid amount is stored or not;

issuing said electronic revenue stamp as data attached a signature of an electronic revenue stamp issuing apparatus to a message to be attached to said electronic revenue stamp, the amount of said electronic revenue stamp, and an identifier of a receiver of said electronic  
 10 revenue stamp, in case that said amount of said electronic revenue stamp is equal to or less than said possible amount to issue; and

reducing said amount of said electronic revenue stamp from said possible amount to issue, when said electronic revenue stamp was issued.

9. An electronic revenue stamp issuing method in accordance

with claim 8, further comprising the steps of:

when a certificate making electronic revenue stamp invalid  
attached a signature of said receiver is received, verifying whether said  
5 certificate making electronic revenue stamp invalid is valid or not by  
using said identifier of said receiver;

increasing said possible amount to issue by said amount of said  
electronic revenue stamp made to be invalid; and

10 recording said certificate making electronic revenue stamp  
invalid that was made to be invalid.

10. An electronic revenue stamp issuing method in  
accordance with claim 8, wherein:

said issuing step issues said electronic revenue stamp as data  
attached said signature of said electronic revenue stamp issuing  
5 apparatus to an issued number (a serial number) of said electronic  
revenue stamp issuing apparatus to specify said electronic revenue stamp,  
in addition to said message to be attached to said electronic revenue  
stamp, the amount of said electronic revenue stamp, and said identifier of  
said receiver of said electronic revenue stamp.

11. An electronic revenue stamp issuing method in  
accordance with claim 9, wherein:

said verifying step, when said verifying step receives said  
certificate making electronic revenue stamp invalid attached said  
5 signature of said receiver, verifies whether said certificate making  
electronic revenue stamp invalid is valid or not by using said identifier of  
said receiver and an issued number (a serial number) of said electronic  
revenue stamp issuing apparatus, when said electronic revenue stamp  
issuing apparatus gives said issued number to said electronic revenue  
10 stamp.

12. An electronic revenue stamp issuing method in accordance with claim 9, further comprising the step of:

storing invalid electronic revenue stamp data that are data of electronic revenue stamps made to be invalid.

13. An electronic revenue stamp issuing method in accordance with claim 8, further comprising the steps of:

recording issued electronic revenue stamps; and

storing issued electronic revenue stamp data and invalid electronic revenue stamp data made to be invalid when said electronic revenue stamps are made to be invalid.

14. An electronic revenue stamp issuing method in accordance with claim 9, wherein:

said verifying step verifies that said certificate making electronic revenue stamp invalid is issued for an electronic revenue stamp issued by said electronic revenue stamp issuing apparatus and also issued by a receiver who is an valid receiver of said electronic revenue stamp, and also verifies that said certificate making electronic revenue stamp invalid was not used before.

15. A recording medium stored a control program of an electronic revenue stamp issuing method, comprising the steps of:

judging whether the amount of an electronic revenue stamp to be issued is equal to or less than a possible amount to issue in which a prepaid amount is stored or not;

issuing said electronic revenue stamp as data attached a signature of an electronic revenue stamp issuing apparatus to a message to be attached to said electronic revenue stamp, the amount of said electronic revenue stamp, and an identifier of a receiver of said electronic

10 revenue stamp, in case that said amount of said electronic revenue stamp is equal to or less than said possible amount to issue; and

reducing said amount of said electronic revenue stamp from said possible amount to issue, when said electronic revenue stamp was issued.

16. A recording medium stored a control program of an electronic revenue stamp issuing method in accordance with claim 15, further comprising the steps of:

5 when a certificate making electronic revenue stamp invalid attached a signature of said receiver is received, verifying whether said certificate making electronic revenue stamp invalid is valid or not by using said identifier of said receiver;

increasing said possible amount to issue by said amount of said electronic revenue stamp made to be invalid; and

10 recording said certificate making electronic revenue stamp invalid that was made to be invalid.

17. A recording medium stored a control program of an electronic revenue stamp issuing method in accordance with claim 15, wherein:

5 said issuing step issues said electronic revenue stamp as data attached said signature of said electronic revenue stamp issuing apparatus to an issued number (a serial number) of said electronic revenue stamp issuing apparatus to specify said electronic revenue stamp, in addition to said message to be attached to said electronic revenue stamp, the amount of said electronic revenue stamp, and said identifier of  
10 said receiver of said electronic revenue stamp.

18. A recording medium stored an electronic revenue stamp

issuing method in accordance with claim 15, wherein:

5       said verifying step, when said verifying step receives said  
 certificate making electronic revenue stamp invalid attached said  
 signature of said receiver, verifies whether said certificate making  
 electronic revenue stamp invalid is valid or not by using said identifier of  
 said receiver and an issued number (a serial number) of said electronic  
 revenue stamp issuing apparatus, when said electronic revenue stamp  
 issuing apparatus gives said issued number to said electronic revenue  
 10   stamp.

19.   A recording medium stored a control program of an  
 electronic revenue stamp issuing method in accordance with claim 15,  
 further comprising the step of:

5       storing invalid electronic revenue stamp data that are data of  
 electronic revenue stamps made to be invalid.

20.   A recording medium stored an electronic revenue stamp  
 issuing method in accordance with claim 14, further comprising the steps  
 of:

8       recording issued electronic revenue stamps; and  
 5       storing issued electronic revenue stamp data and invalid  
 electronic revenue stamp data made to be invalid when said electronic  
 revenue stamps are made to be invalid.

21.   A recording medium stored an electronic revenue stamp  
 issuing method in accordance with claim 15, wherein:

5       said verifying step verifies that said certificate making  
 electronic revenue stamp invalid is issued for an electronic revenue stamp  
 issued by said electronic revenue stamp issuing apparatus and also  
 issued by a receiver who is an valid receiver of said electronic revenue



stamp, and also verifies that said certificate making electronic revenue stamp invalid was not used before.

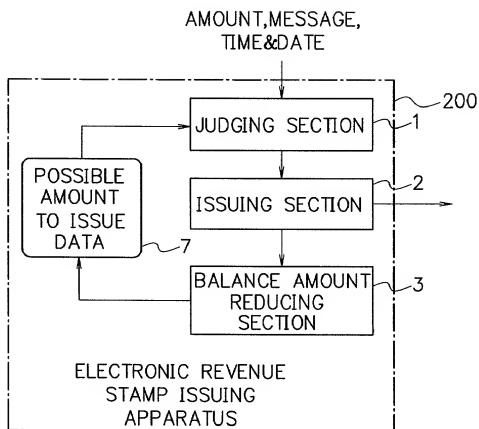
22. A recording medium stored a control program of an electronic revenue stamp issuing method, wherein:

said recording medium stored said control program of said electronic revenue stamp issuing method is an IC card.

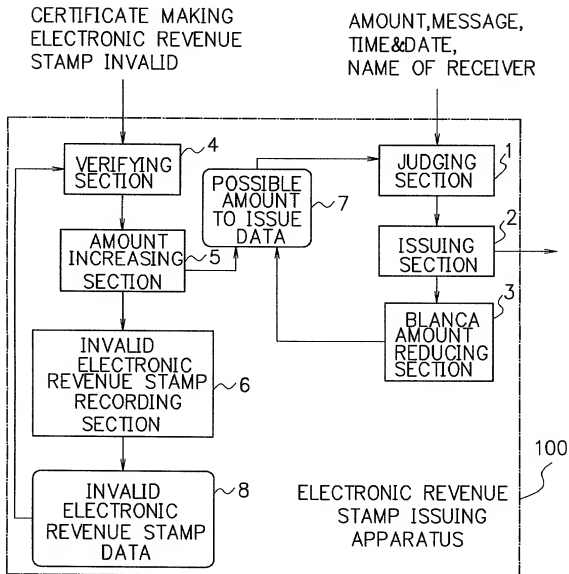
## ABSTRACT OF THE DISCLOSURE

An electronic revenue stamp issuing apparatus and a method thereof and a recording medium stored a control program thereof, in which the amount of an electronic revenue stamp that became invalid can be used again as a possible amount to issue without any communication with a specified communication center, are provided. The electronic revenue stamp issuing apparatus provides a judging means for judging whether the amount of an electronic revenue stamp to be issued is equal to or less than a possible amount to issue in which a prepaid amount is stored or not, an issuing means for issuing the electronic revenue stamp as data attached a signature of the electronic revenue stamp issuing apparatus to a message to be attached to the electronic revenue stamp, the amount of the electronic revenue stamp, and an identifier of a receiver of the electronic revenue stamp, in case that the amount of the electronic revenue stamp is equal to or less than the possible amount to issue, a balance amount reducing means for reducing the amount of the electronic revenue stamp from the possible amount to issue, when the electronic revenue stamp was issued, a verifying means, when the verifying means receives a certificate making electronic revenue stamp invalid attached a signature of the receiver, verifies whether the certificate making electronic revenue stamp invalid is valid or not by using the identifier of the receiver and the signature of the electronic revenue stamp issuing apparatus, an amount increasing means for increasing the possible amount to issue by the amount of the electronic revenue stamp made to be invalid, and an invalid electronic revenue stamp recording means for recording the certificate making electronic revenue stamp invalid that was made to be invalid.

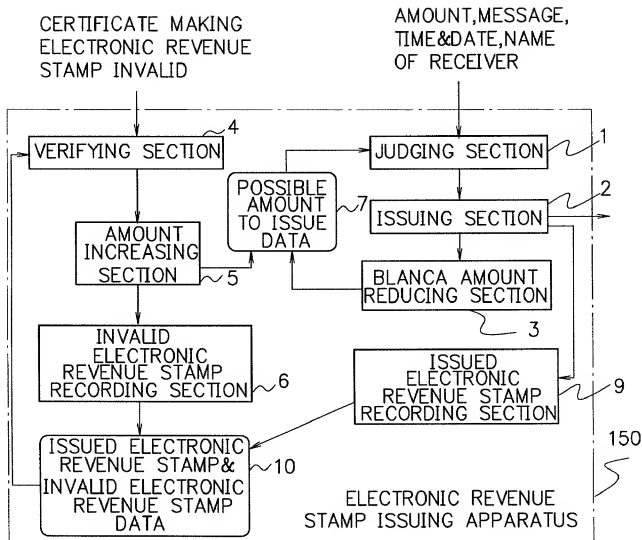
F I G. 1  
PRIOR ART



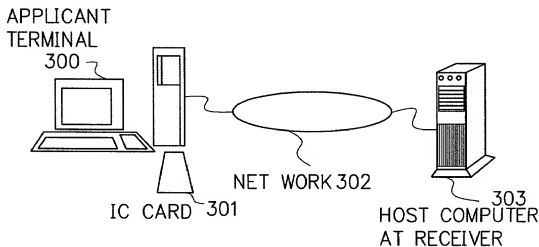
F I G. 2



# FIG. 3



# FIG. 4



Docket No.

# Declaration and Power of Attorney For Patent Application

## English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

ELECTRONIC REVENUE STAMP ISSUING APPARATUS AND METHOD THEREOF  
AND RECORDING MEDIUM STORED CONTROL PROGRAM THEREOF

the specification of which

(check one)

☒ is attached hereto.

☐ was filed on \_\_\_\_\_ as United States Application No. or PCT International

Application Number \_\_\_\_\_

and was amended on \_\_\_\_\_

(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Not Claimed

318982/1999	Japan	10/11/1999	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	
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(Number)	(Country)	(Day/Month/Year Filed)	

I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional application(s) listed below:

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Application Serial No.)

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(Filing Date)

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(Application Serial No.)

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(Filing Date)

I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, C. F. R., Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

\_\_\_\_\_  
(Application Serial No.)

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(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. *(list name and registration number)*

Stephen D. Murphy, Reg. No.: 22,002

Paul J. Esatto, Reg. No.: 30,749

William C. Roch, Reg. No.: 24,972

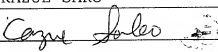
Mark J. Cohen, Reg. No.: 32,211

Frank S. DiGiglio, Reg. No.: 31,346

Donald T. Black, Reg. No.: 27,999

Send Correspondence to: Paul J. Esatto, Jr.  
Scully, Scott, Murphy & Presser  
400 Garden City Plaza  
Garden City, New York 11530

Direct Telephone Calls to: *(name and telephone number)*  
Paul J. Esatto, Jr. (516) 742-4343

Full name of sole or first inventor	KAZUE SAKO	
Sole or first inventor's signature		Date November 2, 2000
Residence	Tokyo, Japan	
Citizenship	Japanese	
Post Office Address	c/o NEC Corporation, 7-1, Shiba 5-chome, Minato-ku, Tokyo, Japan	

Full name of second inventor, if any	
Second inventor's signature	Date
Residence	
Citizenship	
Post Office Address	